

FORM PTO-139G
(REV. 5-93)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTORNEY'S DOCKET NUMBER
11245/47802**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/506997INTERNATIONAL APPLICATION NO.
PCT/US03/006459INTERNATIONAL FILING DATE
04 March 2003
(04.03.03)PRIORITY DATE CLAIMED:
04 March 2002
(04.03.02)TITLE OF INVENTION
HUMAN ANTIBODIES SPECIFIC TO KDR AND USES THEREOFAPPLICANT(S) FOR DO/EO/US
Zhenping ZHU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) immediately rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A substitute specification and Marked-Up Copy
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Copy of published International Application and published International Search Report; Sequence Listing in paper form (forty-four (44) sheets), computer readable form (one (1) diskette); and Sequence Listing Statement

EXPRESS MAIL NO.: EV 321893647 US

U.S. APPLICATION NO. if known, see 37 C.F.R. 1.5		INTERNATIONAL APPLICATION NO. PCT/US/2006/06459		DT09 Rec'd PCT/PTO 04 SEP 2006 ATTORNEY'S DOCKET NUMBER 11245/47802	
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EUROPEAN PATENT OFFICE or JPO \$890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$740.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00				<u>CALCULATIONS</u> <u>PTO USE ONLY</u>	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 730.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	30 - 20 =	10	X \$18.00	\$ 180.00	
Independent Claims	2 - 3 =	5	X \$86.00		
Multiple dependent claim(s) (if applicable)			+ \$290.00		
TOTAL OF ABOVE CALCULATIONS =				\$ 910.00	
Reduction by 1/2 for filing by small entity, if applicable.					
SUBTOTAL =				\$ 910.00	
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$ 910.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$ 910.00	
				Amount to be:	
				refunded	\$
				charged	\$

- DT09 Rec'd PCT/PTO 04 SEP 2004
- 10/506997
- a. ☐ A check in the amount of \$ 10/506997 to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 11-0600 in the amount of \$910.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☐ Applicant is a small entity and is entitled to small entity status.
- d. ☐ Please charge the required Petition to Revive fee of \$640.00 as set forth in 37 C.F.R. §1.17(m) for a small entity.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

JOSEPH A. COPPOLA 38,413

Joseph A. Coppola

SIGNATURE

FOR

SEND ALL CORRESPONDENCE TO:

Kenyon & Kenyon

One Broadway

New York, New York 10004

Lawrence P. Casson, Reg. No. 46,606

NAME

Customer No. 26646

September 3, 2004

DATE